

## 2012-112 AUDIT SCOPE AND OBJECTIVES—Secretary of State—Federal Help America Vote Act

The audit by the California State Auditor (state auditor) will provide independently developed and verified information related to the implementation the office of Help America Vote Act (HAVA) and the National Voter Registration Act (NVRA) and will include, but not be limited to, the following:

1. Review and evaluate the laws, rules, and regulations significant to the audit objectives.
2. Determine if the office implemented the state auditor's recommendations from its 2004 audit report—*Office of the Secretary of State: Clear and Appropriate Direction Is Lacking in Its Implementation of the Federal Help America Vote Act (2004-139)* and if not, assess its progress or reasons for not implementing those recommendations.
3. Review the State Plan and any updates and assess the office's progress in implementing the plan. Determine to what extent the plan has not been implemented, the causes for delay, and the steps the office needs to take to fully comply with HAVA.
4. Determine how HAVA funds have been used subsequent to the audit report issued by the state auditor in 2004, including, but not limited to, the extent to which statewide voting systems have been upgraded.
5. Determine whether any funds intended for HAVA implementation have not been expended in a timely manner and, if funds have not been spent, determine the reasons.
6. Review and evaluate how the office has implemented the NVRA, specifically the efforts to increase voter registration rates in California. Determine whether its actions meet the requirements of the NVRA.
7. Review and assess any other issues that are significant to the office's implementation of HAVA and the NVRA.