

2015-121 AUDIT SCOPE AND OBJECTIVES

CalVet – Enterprise Wide

Veterans Home Information System

The audit by the California State Auditor will provide independently developed and verified information related to the California Department of Veterans Affairs' (CalVet) Enterprise Wide Veterans Homes Information System (EwVHIS), and will include, but not be limited to, the following:

1. Review and evaluate the laws, rules, and regulations significant to the audit objectives.
2. Evaluate the level of oversight CalVet management exercised regarding development and implementation of EwVHIS, including whether the executive office was involved in making key decisions and ensuring that EwVHIS met the needs of the veterans homes.
3. Assess whether CalVet followed laws, rules, regulations, policies, and best practices when selecting vendors for EwVHIS, including, to the extent possible, those prohibiting a conflict-of-interest during the selection process.
4. Determine the estimated and actual implementation costs and timeline for EwVHIS, as well as the number of and reasons for change orders and contract amendments.
5. Determine whether the original project requirements, as defined by the scope of work, were delivered during implementation of the EwVHIS project.
6. Evaluate the steps CalVet took when project variances were identified. To the extent possible, determine whether CalVet could have identified problems with EwVHIS earlier.
7. Review the role of the California Department of Technology in this project and evaluate whether it fulfilled its roles and responsibilities.
8. Determine the steps CalVet has taken to improve the functionality of the EwVHIS and what affect any lack in functionality has had on quality of care and cost and efficiency of the homes' operations. In addition, determine whether CalVet has documented lessons learned for use in future phases of EwVHIS implementation.
9. Identify the level of EwVHIS functionality and use within CalVet's veterans homes and administrative offices and evaluate CalVet's efforts to train staff and otherwise address resistance to using the system.
10. Review and assess any other issues that are significant to the audit.